

### BETWS GG COMMUNITY COUNCIL RISK REGISTER

ID	RISK DESCRIPTION	EXISTING CONTROLS	RISK LEVEL H/M/L	ADDITIONAL CONTROLS	RESIDUAL RISK AFTER ADDITIONAL CONTROLS H/M/L	RISK STRATEGY Avoid, Reduce, Transfer, Accept
1	Inability to meet costs of services provided – inadequacy of Precept	Treasurer Reserves	Medium	Review of contracts and costs as part of budget process	Medium	Reduce
2	Failure to submit precept Request on time	Treasurer Financial regulations	Low	Forward plan for Agenda of meetings	Low	Avoid
3	Precept not paid by Denbighshire	Treasurer Reserves	Low	None	Low	Accept
4	Costs of employing Clerk excessive	None	Medium	None	Low	Reduce
5	Inadequate financial records detailing income and expenditure	Treasurer Receipts and Payments book Reports to Council	Medium	Receipt Book Regular reports to the Council and budgetary and financial matters	Low	Reduce
6	Fraud	Financial regulations Cheques co-signed by two members	Low		Low	Reduce
7	Objection to accounts from Local Government elector	Accounts and Minutes published	Low	None	Low	Accept
8	Supplier (procurement) fraud	All payments scrutinised and approved by council	Low	Discussed at meetings	Low	Reduce

9	Injury to member of public while on Council land	Insurance policy	Medium	Regular inspections	Low	Transfer – Insurance Policy
10	Risk of injury through misuse of defibrillator	Insurance policy Regular maintenance of defib	Low		Low	reduce
11	Theft of defibrillators	Insurance policy	Medium		Medium	Reduce
12	Resignation of Office Bearers	Clerk is also RFO	Medium		Low	Accept
13	Constitution and standing orders not fit for purpose	Existing constitution and standing orders are reviewed annually	Medium	New standing orders to be drawn up based on One Voice Wales Model Standing Orders	Low	Reduce
14	Decline in reputation of Community Council amongst the Community	Open discussion at meetings of the Community Council	Medium	Enhances public engagement	Medium	Reduce
15	Co-Option of additional members of the Community Councillors does not follow correct procedure/best practice	Advice for DCC	Low	None	Low	Accept
16	Burocracy associated with correctly administrating the Council becomes too great and Council is wound up	Treasurer  Internal Audit role is externalised. External Audit costs are reduced as Council becomes more compliant with audit regulations	Medium	Open discussion at meetings of the Community Council and ongoing public engagement.	Medium	Reduce
17	Elections – not enough Councillors	Councillors to attempt to recruit new Councillors	Medium		Medium	Reduce

18	Protection of personal data held about the Community Councillors by the Clerk in relation to GDPR regulations	The clerk only holds Name, Address, Home/mobile telephone numbers and email addresses on laptop	Low	The laptop is password protected	Low	Reduce
----	---	---	-----	----------------------------------	-----	--------